

FORM PTO-1449	Atty. Docket No.: 15226.25.1	Serial No.: 10/773,106
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	Applicant: William J. Ketcherside, Jr. et al.	
	Filing Date: 02/05/2004	Group Art: 2632

U.S. PATENTS

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
DP	6,188,988	02/13/2001	Barry et al.	705	3	03/10/2000
L	6,081,786	06/27/2000	Barry et al.	705	3	04/01/1999

U.S. PATENT APPLICATION PUBLICATIONS

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
DP	2004/0260666	12/23/2004	Pestotnik et al.	706	46	09/09/2003
L	2002/0002472	01/03/2002	Abraham-Fuchs et al.	705	3	04/18/2001

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

DP	"ADE Assistant." www.theradoc.com . November 13, 2005. < http://www.theradoc.com/products/products_ade.html >
	"Antibiotic Assistant – Antibiotic Assistant Overview." www.theradoc.com . November 13, 2005. < http://www.theradoc.com/products/products_abxassist.html >
	"Antibiotic Assistant – Integrated Model." www.theradoc.com . November 13, 2005. < http://www.theradoc.com/products/products_integrated.html >
	Bates DW, et al., "The Costs of Adverse Drug Events in Hospitalized Patients. Adverse Drug Events Prevention Study Group," <u>JAMA</u> 277.4 (January 22, 1997): 1 < http://jama.ama-assn.org/cgi/content/abstract/277/4/307 >
	Burke JP, "Hospitals Enter the War Against Antibiotic Resistance," [editorial review] <u>Current Opinion on Infectious Diseases</u> 8 (1995): 269-271
	Burke JP, et al., "A Retrospective Analysis of Twice-daily Cefotaxime Compared to Conventional Therapy for the Treatment of Infections in a USA Hospital," <u>Diagn Microbial Infect Dis</u> 22 (1995):167-69
	Burke JP, et al., "Antibiotic Cycling: What Goes Around Comes Around," [editorial review] <u>Current Opinion in Infectious Diseases</u> 13 (2000): 367-69

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449	Atty. Docket No.: 15226.25.1	Serial No.: 10/773,106
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	Applicant: William J. Ketcherside, Jr. et al.	
	Filing Date: 02/05/2004	Group Art: 2632

D.P.	Burke JP, et al., "Antibiotic Resistance: The Combat Zone," [editorial review] <u>Current Opinion in Infectious Diseases</u> 11 (1998): 441-43.
	Burke JP, et al., "Antibiotic Resistance-Systems Thinking, Chaos and Complexity Theory," [editorial review] <u>Current Opinion in Infectious Diseases</u> 12 (1999): 317-19
	Burke JP, et al., "Breaking the Chain of Antibiotic Resistance," [editorial review] <u>Current Opinion in Infectious Diseases</u> 9 (1996): 253-55
	Burke JP, et al., "Evaluation of the Financial Impact of Ketorolac Tromethamine Therapy in Hospitalized Patients," Rpt. from <u>Clinical Therapeutics</u> 18:1 (1996): 197-211
	Burke JP, et al., "Evaluation of the Impact of Implementation of a Comprehensive Computerized Antibiotic Management Program at Intermountain Health Care," <u>Healthcare Information and Management Systems Society Proceedings</u> 1 (1997): 18-24
	Burke JP, et al., "Evaluation of Therapeutic Antibiotic Substitution by Microcosting Using an Automated Hospital Database," Abstracts of the 1991 ICAAC, The 31 st Interscience Conference on Antimicrobial Agents and Chemotherapy. Chicago, IL (September 29 - October 2, 1991), Article 407: 167
	Burke JP, et al., "Inappropriate Antibiotic Therapy: Detection Using Computer Algorithms," <u>Clinical Research</u> 36.1 (January 1988): 28A
	Burke JP, et al., "The HELP System and Its Application to Infection Control," <u>Journal of Hospital Infection</u> 18(Supp. A) (1991): 424-31
	Burke JP, et al., "The Pharmacy and Drug Usage," <u>Assessing Quality Health Care: Perspectives for Clinicians</u> . Baltimore: Williams & Wilkins (1991): 509-20
	Burke JP, et al., "The Quality of Antibiotic Use and the Quality of Measuring It," [editorial review] <u>Current Opinion in Infectious Diseases</u> 10 (1997): 289-291
	Burke JP, et al., Reply to Letters. <u>JAMA</u> 277.17 (May 7, 1997): 1351-1353
	Classen DC, et al., "Adverse Drug Events in Hospitalized Patients: Excess Length of Stay, Extra Costs, and Attributable Mortality," <u>JAMA</u> 277.4 (January 1997): 301-6
	Classen DC, et al., "Adverse Effects of Intravenous Erythromycin in Hospital Patients: Attributable Costs and Excess Length of Stay," Abstracts of the 36 th ICAAC, American Society for Microbiology, New Orleans, Louisiana, Session 112 (September 15-18, 1996): Article N19: 296
	Classen DC, et al., "Antibiotic Prophylaxis and Surgical Wound Infections," [To the Editor:] <u>New England Journal of Medicine</u> 327.3 (July 16, 1992): 205-206
	Classen DC, et al., "Case 12.1: LDS Hospital: Institution-Wide Antibiotic Management," <u>Transforming Health Care Through Information Case Studies</u> . NM Lorenzi, et al. Ed. Springer-Verlag, New York, NY (1995): 322-332

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449	Atty. Docket No.: 15226.25.1	Serial No.: 10/773,106
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	Applicant: William J. Ketcherside, Jr. et al.	
	Filing Date: 02/05/2004	Group Art: 2632

DP		Classen DC, et al., "Clinical and Financial Impact of Intravenous Erythromycin Therapy in Hospitalized Patients," <u>Annals of Pharmacotherapy</u> 33 (June 1999): 669-73
		Classen DC, et al., "Clinical Evaluation of a Computerized Antibiotic Selection Program in a Large Teaching Hospital," Abstracts of the 1992 ICAAC, The 32 nd Interscience Conference on Antimicrobial Agents and Chemotherapy. Anaheim, CA (October 11-14, 1992), Article 532: 199
		Classen DC, et al., "Computerized Surveillance of Adverse Drug Events in Hospitalized Patients," <u>JAMA</u> 266.20 (November 27, 1991): 2847-51
		Classen DC, et al., "Description of a Computerized Adverse Drug Event Monitor Using a Hospital Information System," <u>Hospital Pharmacy</u> 27 (September 1992): 774, 776-779, 783
		Classen DC, et al., "Intensive Surveillance of Midazolam Use in Hospital Patients and the Occurrence of Cardiorespiratory Arrest," <u>12.3 Pharmacotherapy</u> (1992): 213-16
		Classen DC, et al., "Prophylactic Antibiotics Used to Prevent Surgical Wound Infections," <u>Clinical Practice Improvement: A New Technology for Developing Cost-Effective Quality Health Care</u> . New York: Faulkner & Gray (1994): 217-21
		Classen DC, et al., "Surveillance for Quality Assessment: IV. Surveillance Using a Hospital Information System," <u>12.4 Infection Control and Hospital Epidemiology</u> (April 1991): 239-44
		Classen DC, et al., "The Computer-Based Patient Record: An Essential Technology for Hospital Epidemiology," <u>Hospital Epidemiology and Infection Control</u> Ed. CG Mayhall, Williams & Williams. Baltimore, MD (1996): 123-137
		Classen DC, et al., "The Impact of Managed Care and Capitation on the Practice of Infectious Disease: Strategies for Managing Clinical Care," Abstracts - 35 th Interscience Conference on Antimicrobial Agents and Chemotherapy an Annual Meeting of the American Society for Microbiology San Francisco, CA (September 17-20, 1995): Article S19: 352
		Classen DC, et al., "The Timing of Prophylactic Administration of Antibiotics and the Risk of Surgical-wound Infections," <u>New England Journal of Medicine</u> 326.5 (January 30, 1992): 281-86
		Classen, DC, et al., "The Computer-Based Patient Record: An Essential Technology for Hospital Epidemiology," <u>Hospital Epidemiology and Infection Control</u> 2E. Ed. C. Glen Mayhall. Lippincott Williams & Williams, Philadelphia, PA (1999): 141-154
		Evans RS, et al., "A Computerized Approach to Monitor Prophylactic Antibiotics," <u>Proc Annu Symp Comput Appl Med Care</u> 11 (1987): 241-45
		Evans RS, et al., "A Decision Support Tool for Antibiotic Therapy," <u>Proc Annu Symp Comput Appl Med Care</u> 19 (1995): 651-55
		Evans RS, et al., "Applications of Medical Informatics in Antibiotic Therapy," <u>Antimicrobial Susceptibility Testing</u> 349 (1994): 87-96

EXAMINER:

Dan J. Byrne

DATE CONSIDERED:

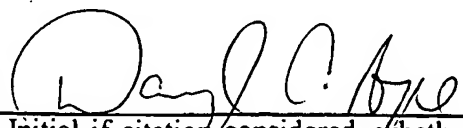
8/19/06

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449	Atty. Docket No.: 15226.25.1	Serial No.: 10/773,106
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	Applicant: William J. Ketcherside, Jr. et al.	
	Filing Date: 02/05/2004	Group Art: 2632

D.P.	Evans RS, et al., "Computer Surveillance of Hospital-Acquired Infections and Antibiotic Use," <u>JAMA</u> 256.8 (August 22/29, 1986): 1007-1011
	Evans RS, et al., "Computerized Identification of Patients at High Risk for Hospital-Acquired Infections," <u>American Journal of Infection Control</u> 20.1 (February 1992): 4-10
	Evans RS, et al., "Development of a Computerized Adverse Drug Event Monitor," <u>Proc Annu Symp Comput Appl Med Care</u> 15 (1991): 23-27
	Evans RS, et al., "Development of an Automated Antibiotic Consultant," <u>M.D. Computing</u> 10.1 (1993): 17-22
	Evans RS, et al., "Evaluating the Impact of Computer-based Drug Monitoring on the Quality and Cost of Drug Therapy," <u>Hospital Information Systems</u> (1995): 201-220
	Evans RS, et al., "Evaluating the Impact of Computer-based Drug Monitoring on the Quality and Cost of Drug Therapy," <u>Hospital Information Systems: Design and Development Characteristics: Impact and Future Architecture</u> . Medical Artificial Intelligence Series. Amsterdam: Elsevier. 2 (1998): 1-20
	Evans RS, et al., "Experience with a Computer-Assisted Antiinfective Agent Management Program," <u>Computer-Assisted Decision-Making Activated by Clinical Laboratory Findings</u> . Clin Chem Lab Med Symposium Abstracts - IFCC-WorldLab '99. Firenze. 37 - Special Supplement (June 6-11, 1999): S6
	Evans RS, et al., "Improving Empiric Antibiotic Selection Using Computer Decision Support," <u>Arch Intern Med</u> 154 (April 25, 1994): 878-84
	Evans RS, et al., "Prediction of Hospital Infections and Selection of Antibiotics Using an Automated Hospital Database," <u>Proc Annu Symp Comput Appl Med Care</u> 14 (1990): 663-67
	Evans RS, et al., "Preventing Adverse Drug Events in Hospitalized Patients," <u>Annals of Pharmacotherapy</u> 28 (April 1994): 523-527
	Evans RS, et al., "Prevention of Adverse Drug Events Through Computerized Surveillance," <u>Proc Annu Symp Comput Appl Med Care</u> 16 (1992): 437-41
	Evans RS, et al., "Reducing the Duration of Prophylactic Antibiotics through Computer Monitoring of Surgical Patients," <u>DICP, The Annals of Pharmacotherapy</u> 24 (April 1990): 351-354
	Evans RS, et al., "The Evaluation of an Automated Antibiotic Consultant," National Technical Information Services, Departments of Medical Informatics, Infectious Diseases, and Pharmacy, LDS Hospital and University of Utah School of Medicine, Salt Lake City, UT (1994): 1-25
✓	Evans RS, et al., "Using a Hospital Information System to Assess the Effects of Adverse Drug Events," <u>Proc Annu Symp Comput Appl Med Care</u> 17 (1993): 161-65

EXAMINER:



DATE CONSIDERED:

8/19/06

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449	Atty. Docket No.: 15226.25.1	Serial No.: 10/773,106
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	Applicant: William J. Ketcherside, Jr. et al.	
	Filing Date: 02/05/2004	Group Art: 2632

DP		Gundlapalli AV, et al., "A Rule-based Computer System to Facilitate Public Health Surveillance: Deployment by a Hospital Infection Control Unit," upon information and belief, available at least as early as 2001
		Gundlapalli AV, et al., "Hospital Electronic Medical Record-Based Public Health Surveillance System Deployed During the 2002 Winter Olympic Games," Presented in part at the 2003 APIC Conference, San Antonio, TX, Session 2301 (June 10, 2003): 1-17
		Harbarth S, et al., "Clinical and Economic Outcomes of Conventional Amphotericin B-Associated Nephrotoxicity," <u>CID</u> 35 (December 15, 2002): e120-127
		Harbarth S, et al., "The Epidemiology of Nephrotoxicity Associated with Conventional Amphotericin B Therapy," <u>American Journal of Medicine</u> 111 (November 2001): 528-534
		Hongsermeier TM, et al., "TheraDoc Expert Systems: The Cornerstone of a Successful Infection Management and Patient Safety Strategy," <u>White Paper</u> , Salt Lake City: TheraDoc, Inc. (2003): 1-10
		Kelly DL, et al., "Reengineering a Surgical Service Line: Focusing on Core Process Improvement," <u>American Journal of Medical Quality</u> 12.2 (1997): 120-29
		Larsen RA, et al., "Improved Perioperative Antibiotic Use and Reduced Surgical Wound Infections Through Use of Computer Decision Analysis," <u>Infect Control Hosp Epidemiol</u> 10.7 (1989): 316-320
		Leader WG, et al., "Integrating Pharmacokinetics into Point-of-Care Information Systems," <u>Clin. Pharmacokinet.</u> 31.3 (September 1996): 165-173
		Lo TS., et al., "Secular Trends of Enterococcal Urinary Tract Infection: A Ten-Year Study [Abstract]," American Society for Microbiology, 1 st International ASM Conference on Enterococci, available at least as early as December 6, 1999: 1
		Naranjo CA, et al., "A Method for Estimating the Probability of Adverse Drug Reaction," <u>Clinical Pharmacology and Therapeutics</u> 30.2 (August 1981): 239-245
		Pestotnick SL, et al., "Medical Informatics: Meeting the Information Challenges of a Changing Health Care System," <u>Journal of Informed Pharmacotherapy</u> 2 (2000): 1
		Pestotnik S, "The Future of ID Pharmacy Practice: Putting Decisions in Decision Support," [editorial] <u>Society of Infectious Diseases Pharmacists Newsletter</u> 5.3 (Fall 1995): 2-3
		Pestotnik SL, (August 1993). "Computer-Based Alerts for Drug Dosing in Renal Impairment," unpublished masters thesis, University of Utah, Salt Lake City, Utah, United States: 1-62
		Pestotnik SL, "Role of Information Systems in Reducing Adverse Drug Events," <u>Improving the Quality of the Medication Use Process: Error Prevention & Reducing Adverse Drug Events</u> . New York: Pharmaceutical Products Press/Haworth Press, Inc. (1998): 183-91

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449	Atty. Docket No.: 15226.25.1	Serial No.: 10/773,106
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	Applicant: William J. Ketcherside, Jr. et al.	
	Filing Date: 02/05/2004	Group Art: 2632

DL	Pestotnik SL, et al., "A Five-Year Analysis of Parental Anti-infective Use at a Tertiary Care Hospital," Abstracts of the 33 rd ICAAC. New Orleans, LA (October 17-20, 1993), 634: 235
	Pestotnik SL, et al., "Adverse Effects of Intravenous Vancomycin (IVV) in Hospital Patients: Attributable Costs and Excess Length of Stay," <u>Clinical Infectious Diseases</u> , Abstracts of the IDSA 35 th Annual Meeting 25.2 (August 1997) Article 376: 424
	Pestotnik SL, et al., "Expert Clinical Decision Support Systems to Enhance Antimicrobial Stewardship Programs," <u>Pharmacotherapy</u> 25.8 (2005): 1116-25
	Pestotnik SL, et al., "Expert Clinical Decision Support Systems to Enhance Antimicrobial Stewardship Programs," <u>Society of Infectious Diseases Pharmacists Newsletter</u> 14.3 (Winter 2005): 2-7
	Pestotnik SL, et al., "Medical Informatics, Decision Support, and Quality of Care: Clinician's Perspective," <u>International Pharmaceutical Abstracts</u> , Information Processing and Literature, 3505425: 817
	Pestotnik SL, et al., "Prospective Surveillance of Imipenem/Cilastatin Use and Associated Seizures Using a Hospital Information System," <u>Annals of Pharmacotherapy</u> 27 (April 1993): 497-501
	Pestotnik SL, et al., "Surveillance of Imipenem-associated Seizures in a Large Cohort of Hospitalized Patients," Abstracts of the 1992 ICAAC, The 32 nd Interscience Conference on Antimicrobial Agents and Chemotherapy. Anaheim, CA (October 11-14, 1992), 530: 199
	Pestotnik SL, et al., "Therapeutic Antibiotic Monitoring: Surveillance Using a Computerized Expert System," <u>American Journal of Medicine</u> 88 (January 1990): 43-48
	Riley DK, et al., "The Effect of Improved Prophylactic and Therapeutic Antibiotic Use on Hospital Microbial Resistance Patterns," <u>Infection Control and Hospital Epidemiology</u> , S2 (April 1994): P26
	Tettelbach W, et al., "Usage Evaluation of a Computerized Clinical Decision Support System (CDSS) Utilized by the Rural Antibiotic Decision-Support & Resistance (RADAR) Project," upon information and belief, available at least as early as 2001
	Pestotnik SL, "E-Prescribing in the Hospital with the Theradoc System," 44 th Interscience Conference on Antimicrobial Agents and Chemotherapy. Washington, DC (October 30-November 2, 2004), (abst. 636)
	Classen DC, et al., "Antibiotic Outcomes Research: A Large Randomized Trial of Cephalosporin Use in Hospitalized Patients," <u>Clin. Res.</u> 39.2 (1991): 373A
✓	Tettelbach WH, et al., "Usage Evaluation of a Web-based Computerized Clinical Decision Support System," 42 nd ICAAC Abstracts, 42 nd Interscience Conference on Antimicrobial Agents and Chemotherapy. San Diego, CA (September 27-30, 2002), (abst. W-1159)
✓	

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.